

07/23/99

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Large Entity)Docket No.  
INTL-0237-US (P7323)TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Tinku Acharya, Yap-Peng Tan, Ping-Sing Tsai and Werner Metz

For: **IMAGE PROCESSING METHOD AND APPARATUS**JCS11 U.S. PTO  
09/359523  
07/23/99

Enclosed are:

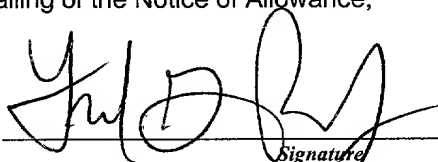
- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL360180055US**
- ☒ 4 sheets of drawings.
- ☐ A certified copy of a application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ Other: **Recordation Form Cover Sheet and Assignment**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	18	- 20 =	0	x \$18.00	\$0.00
<b>Indep. Claims</b>	3	- 3 =	0	x \$78.00	\$0.00
<b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>					\$0.00
<b>BASIC FEE</b>					\$760.00
<b>TOTAL FILING FEE</b>					\$760.00

- ☒ A check in the amount of **\$760.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **20-1504** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 7/23/99

  
Signature

Fred G. Pruner, Jr., Reg. No. 40,779  
Trop, Pruner, Hu & Miles, P.C.  
8554 Katy Freeway, Suite 100  
Houston, TX 77024  
(713) 468-8880 [Ph]  
(713) 468-8883 [Fax]

CC:

# **APPLICATION FOR UNITED STATES LETTERS PATENT**

**TITLE: IMAGE PROCESSING METHOD AND  
APPARATUS**

**APPLICANTS: TINKU ACHARYA, YAP-PENG TAN, PING-SING  
TSAI and WERNER METZ**

Express Mail No. EL360180055US  
Date Mailed: July 23, 1999

Prepared by: Trop, Pruner, Hu & Miles, P.C.  
Houston, Texas 77024 (713) 468-8880

## IMAGE PROCESSING METHOD AND APPARATUS

### BACKGROUND

The invention relates to an image processing method and apparatus.

Referring to Fig. 1, a typical computer system 5 may include a digital camera 12 that electrically captures an optical image 13 and a computer 10 that may store, print or display the captured image. To capture the image, the camera 12 typically includes an image sensor 11 that captures digitized portions of the image (called pixels) and communicates indications (digital bits, for example) of these pixels to the computer 10 (via a serial bus 14, for example).

The image sensor 11 typically does not respond to light frequencies in the same manner as the human eye. As a result, raw data that is provided by the image sensor 11 may need to be transformed so that the transformed data indicates the optical image 13 as perceived by the human eye. However, before this transformation occurs, the raw data may need to be manipulated to compensate for other effects introduced by the camera 12, such as stray lighting effects, lens flare effects and the nonlinearity of the image sensor 11. Also, the raw data may be manipulated to adjust a white color balance in the image that is indicated by the data.

The term "white color balance" refers to a measure of the balance of colors in the captured image. For example, when an image is captured under a florescent light, the raw data from the image sensor 11 may indicate a generally green image. To correct an incorrect white color balance, the computer 10 may scale the pixel intensities (that are indicated by the raw data from the image sensor 11). For example, the computer 10 may scale the pixel intensities that indicate red, green and blue color components of the optical image 13 by different factors (called  $\alpha_R$ ,  $\alpha_G$ , and  $\alpha_B$ , respectively) to compensate for an white color imbalance.

Unfortunately, an image processing circuit, such as the above-described camera 12, may be specifically designed for a given image sensor and other components of the

camera 12. Thus, there is a continuing need for an imaging processing circuit that more readily accommodates different camera components, such as image sensors that have different sizes and types, for example.

5

## SUMMARY

In one embodiment, a method includes capturing an optical image to form raw data indicative of the optical image and using values in a look-up table to transform the raw data into transformed data that indicates a second image. A white color balance of the second image is computed, and the values in the look-up table are modified based on the computed white color balance.

10

## BRIEF DESCRIPTION OF THE DRAWING

Fig. 1 is a schematic diagram of a computer system of the prior art.

Fig. 2 is a schematic diagram of a camera according to an embodiment of the invention.

15

Fig. 3 is an illustration of a look-up table of the camera of Fig. 2 according to an embodiment of the invention.

Fig. 4 is a more detailed schematic diagram of the camera of Fig. 2 according to an embodiment of the invention.

Fig. 5 is a flow diagram illustrating the processing that is performed by the camera according to an embodiment of the invention.

20

## DETAILED DESCRIPTION

Referring to Fig. 2, an embodiment 20 of a digital camera in accordance with the invention includes a look-up table 26 that is used by the camera 20 to modify raw pixel data (that is provided by an image sensor 22) to compensate for such factors as the white balance of a captured image, nonlinearities introduced by the image sensor 22, and flare effects introduced by a lens 23. In this manner, the look-up table 26 stores values to which the different pixel intensities and colors that are indicated by the raw pixel data are

25

linearly mapped. This mapping, in turn, may compensate for one or more of the factors described above. More particularly, the table 26 may store intensity values in an array of storage locations, and each storage location may be uniquely addressed by a color component (a red, green or blue color component, for example) and the intensity of that color component. For example, for a red-green-blue (RGB) color space, the captured red, green and blue color pixels may be associated with three different groups 40, 42 and 44 respectively, (see Fig. 3), of pixel intensity values in the look-up table 26. As an example, a red pixel (depicted as R(50) in Fig. 3) that has an intensity of fifty may map into a location of the table 26 that stores an intensity of 42 to produce a transformed red pixel intensity value (called R'(42) in Fig. 3) of 42.

Instead of establishing the values of the table 26 in view of specific image sensor, lens and/or lighting conditions, the camera 20 may update the values of the table 26 in an iterative calibration process to optimize the values for the particular conditions and components being used in the camera 20. Thus, in a sense, the values of the table are self-adjusting to accommodate the non-ideal effects that are introduced by the camera 20 and to accommodate the use of different sizes and types of components in the camera 20. In this manner, the camera 20 may initialize the table 26 with a set of values in an attempt to sufficiently compensate the raw pixel data that originates with the image sensor 22. The camera 20 may then analyze the image that is indicated by the transformed pixel data, determine if the white color balance of the indicated image is acceptable, and if not, change the values in the table 26 to improve the quality of the next image that is produced by the camera 20.

The camera 20 captures and processes a particular optical image 18 in the following manner. The lens 23 and possibly other optics focus the optical image 18 onto the image sensor 22, and in response, the image sensor 22 furnishes signals that indicate the intensities of pixels of the captured image, i.e., indicates the raw data. If the image sensor 22 does not provide the red, green and blue (RGB) colors for each pixel location, then a color synthesis circuit 24 of the camera 20 may be used to interpolate the missing colors for each pixel location. For example, the image sensor 22 may provide pixel data

from which a Bayer pattern (for example) color synthesis may be used to interpolate the missing RGB colors for each pixel location to form three intensity values (for the three colors) for each pixel location.

The resultant raw pixel data that is provided by the color synthesis circuit 24 (or image sensor 22, if the image sensor 22 provides true color pixel data) serves as indexes to point to the appropriate data in the table 26. The transformed data that is provided by the table 26 may then be processed by a color correction circuit 30 that transforms the pixel data so that the red, green and blue spectral responses of the indicated image match the corresponding spectral responses of the human eye. After this transformation, a color space conversion circuit 32 may convert the pixel intensities into a standard color space, such as a YCbCr color space, for example. From the data that is provided by the color space conversion circuit 32, a white color balance circuit 28 computes the white color balance of the image.

In some embodiments, if the computed white color balance is outside of a predetermined range, then the white color balance circuit 28 changes the values in the table 26, and the camera 20 passes the captured frame through the above-described transformations again. In this manner, after determining that a particular image has an unacceptable white color balance, the white color balance circuit 28 may multiply the values of each group 40, 42 and 44 (see Fig. 3) of the table 26 by associated scalars  $\alpha_R$ ,  $\alpha_G$ , and  $\alpha_B$ , and subsequently, the new values in the table 26 may be used in the next set of transformations.

Thus, in some embodiments, the circuitry of the camera 20 forms a feedback loop that may be used in an iterative process to compensate for the white color balance, as the camera 20 permits processing of the image to account for other camera-introduced non-ideal effects before attempting to modify the values of the table 26 to readjust the white color balance. In some embodiments, when the camera 20 is in a still capture mode, the camera 20 may process the still image in the above-described feedback loop to adjust the values in the table 26 until the white color balance is acceptable. In other embodiments, the camera 20 may use a predetermined number (two, for example) of passes through the

feedback loop. Thereafter, as long as the camera 20 is turned on, the camera 20 may periodically check (every ten frames, for example) the white color balance to determine if the white color balance is in a predetermined range, as the lighting conditions (one of the main variable) may remain substantially the same over a small number of frames.

5           For video, in some embodiments, the camera 20 may permit each frame to pass through even if the white balance is unacceptable, as the camera 20 may make corrections to each successive future frame until the white color balance is properly adjusted. Once adjusted, the camera 20 may periodically check the white color balance (via the white color balance circuit 20) during selected frames of the video.

10           Among the other features of the camera 20, in a bypass mode, the camera 20 may include a bypass path 39 for communicating the raw pixel data directly from the image sensor 22 to a computer 290. An edge enhancement circuit 34 may receive pixel data for an outgoing frame from the color space conversion circuit 32 and modify the data to further emphasize edges of the image to improve the image's contrast. A compression  
15           circuit 36 may compress the pixel data that is provided by the edge enhancement circuit 34 to reduce the bandwidth used to communicate a particular frame to the computer 290. Instead of communicating the frame to the computer 290, the frame may be stored (at least temporarily) in a memory 37.

20           Referring to Fig. 4, as a more specific example, the camera 210 may include a capture and signal processing unit 248 that may interact with the image sensor 22 to capture the optical image and transfer a frame of data that indicates the resultant raw pixel data to a random access memory (RAM) 263. To accomplish this, the capture and signal processing unit 248 may be coupled to a bus 220, along with a memory controller 261 that receives the frame from the bus 220 and generates signals to store the data in the  
25           RAM 263. Indications of the look-up table 26 may also reside in the RAM 263. A processor 262 may access the data in the RAM 263 to perform, for example, the color synthesis, look-up table transformation, color correction, color space conversion, white color balance computation, and edge enhancement functions. The processor 262 may be coupled to the bus 220 via a bus interface 270. In this context, the term "processor" may

generally refer to one or more microprocessors, such as a microcontroller, an X86 microprocessor, an Advanced RISC Machine (ARM) microprocessor or a Pentium® microprocessor, as just a few examples.

Among its other features, the camera 20, the camera 20 may include a  
5 compression unit 268 that may interact with the RAM 263 to compress the size of the  
processed frame before storing the compressed frame in the memory 37, such as a flash  
memory 278. To accomplish this, the compression unit 268 may be coupled to the bus  
220, along with a flash memory controller 274 that receives the compressed frame from  
the bus 220 and generates signals to store the data in the flash memory 278. To transfer  
10 the compressed frame to the computer 290, the camera 20 may include a serial bus  
interface 266 that is coupled to the bus 220 to retrieve the compressed frame from either  
the RAM 263 or the flash memory 278. The serial bus interface 266 may generate  
signals on a serial bus 280 (a Universal Serial Bus (USB), for example) to transfer an  
indication of the compressed frame to the computer 290. The USB is described in detail  
15 in the Universal Serial Bus Specification, Revision 1.0, published on January 15, 1996,  
and is available on the Internet at [www.intel.com](http://www.intel.com). The camera 20 may also include a  
read-only memory (ROM) 269 that may be coupled to the bus 220. The ROM 269 may  
store program 170 that causes the processor 262 to perform the above-described functions  
when the processor 262 executes the program 170.

20 To summarize, the camera 20 may use the following technique 300 (depicted in  
Fig. 5) to process an optical image captured by the camera 20. In particular, the camera  
20 may capture (block 302) an optical image, interpolate (block 304) any missing pixel  
colors from the captured image, select (block 306) values for the look-up table 26 and  
then perform an interactive process to adjust the values in the look-up table 26. In this  
25 manner, the camera 20 may perform (block 308) a transformation on the raw pixel data  
via the look-up table 26 and then perform (block 310) color correction. Next, the camera  
20 performs (block 312) color space conversion before determining (diamond 314)  
whether the white color balance is acceptable. If not, the camera 20 then readjusts (block  
316) the values in the look-up table 26 and then returns either to block 308 or

alternatively to block 302, depending on the particular embodiment. If the camera 20 determines that the white color balance is acceptable, then the camera 20 returns to the block 302 to capture another optical image.

Other embodiments are within the scope of the following claims. For example,  
5 although a camera is described as an image processing circuit in accordance with the invention, other image processing circuits (a scanner, for example) may embody the invention.

While the invention has been disclosed with respect to a limited number of  
embodiments, those skilled in the art, having the benefit of this disclosure, will appreciate  
10 numerous modifications and variations therefrom. It is intended that the appended claims cover all such modifications and variations as fall within the true spirit and scope of the invention.

What is claimed is:

- 1           1.       A method comprising:  
2           capturing an optical image to form raw data indicative of the optical image;  
3           using values in a look-up table to transform the raw data into transformed data  
4           indicative of a second image;  
5           computing a white color balance of the second image; and  
6           modifying the values in the look-up table based on the computed white color  
7           balance.
- 1           2.       The method of claim 1, further comprising repeating the using, computing  
2           and modifying until the computed white color balance is at an acceptable level.
- 1           3.       The method of claim 1, further comprising repeating the using, computing  
2           and modifying for a predetermined number of iterations.
- 1           4.       The method of claim 3, wherein the number of iterations depends on  
2           whether the capturing is used to capture a still image or video.
- 1           5.       The method of claim 1, further comprising:  
2           modifying the transformed data to compensate for differences in responses to the  
3           optical image between the image sensor and a human eye.
- 1           6.       The method of claim 5, further comprising:  
2           modifying the result of the modification of the transformed data to convert the  
3           result into a predetermined color space.
- 1           7.       The method of claim 1, further comprising:  
2           before the transformation, modifying the raw data to interpolate pixel colors.

1           8.     An image processing circuit comprising:  
2           an image sensor to capture an optical image to form raw data indicative of the  
3     optical image;  
4           a look-up table storing values to transform the raw data into transformed data  
5     indicative of a second image;  
6           a white color balance circuit to compute a white color balance of the second  
7     image; and  
8           a second circuit to modify the values in the look-up table based on the computed  
9     white color balance.

1           9.     The image processing circuit of claim 8, wherein, for a single capture by  
2     the image sensor, the second circuit repeatably modifies the values in the look-up table  
3     and uses the white color balance circuit to compute the white color balance until the  
4     computed white color balance is at an acceptable level.

1           10.    The image processing circuit of claim 8, wherein, for a single capture by  
2     the image sensor, the second circuit repeatably modifies the values in the look-up table  
3     and uses the white color balance circuit to compute the white color balance for a  
4     predetermined number of iterations.

1           11.    The image processing circuit of claim 8, wherein the number of iterations  
2     depends on whether the capturing is used to capture a still image or video.

1           12.    The image processing circuit of claim 8, further comprising:  
2           a color correction circuit to modify the transformed data to compensate for  
3     differences in responses to the optical image between the image sensor and a human eye.

1           13.     The image processing circuit of claim 8, further comprising:  
2           a color space conversion circuit to convert the transformed data into a  
3     predetermined color space.

1           14.     The image processing circuit of claim 8, further comprising:  
2           an interpolation circuit to modify the raw data to interpolate pixel colors.

1           15.     The image processing circuit of claim 8, wherein the image processing  
2     circuit comprises a camera.

1           16.     An article comprising a storage medium readable by a processor-based  
2     system, the medium storing instructions to cause a processor to:  
3           use values stored in a look-up table to transform raw data provided by an image  
4     sensor into transformed data that indicates an image,  
5           compute a white color balance of the image, and  
6           modify the values in the look-up table based on the computed white color balance.

1           17.     The article of claim 16, the instructions causing the processor to repeatably  
2     modify the values in the look-up table and compute the white color balance until the  
3     computed white color balance is at an acceptable level.

1           18.     The article of claim 16, the instructions causing the processor to repeatably  
2     modify the values in the look-up table and computer the white color balance for a  
3     predetermined number of iterations.

## IMAGE PROCESSING METHOD AND APPARATUS

### ABSTRACT OF THE DISCLOSURE

A method includes capturing an optical image to form raw data indicative of the optical image and using a look-up table to transform the raw data into transformed data that indicates a second image. A white color balance of the second image is computed, and the values in the look-up table are modified based on the computed white color balance.

5

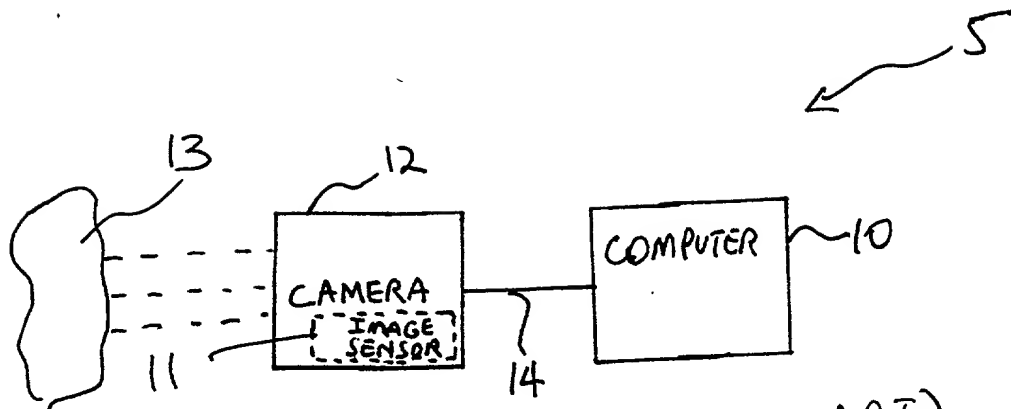


FIG. 1 (PRIOR ART)

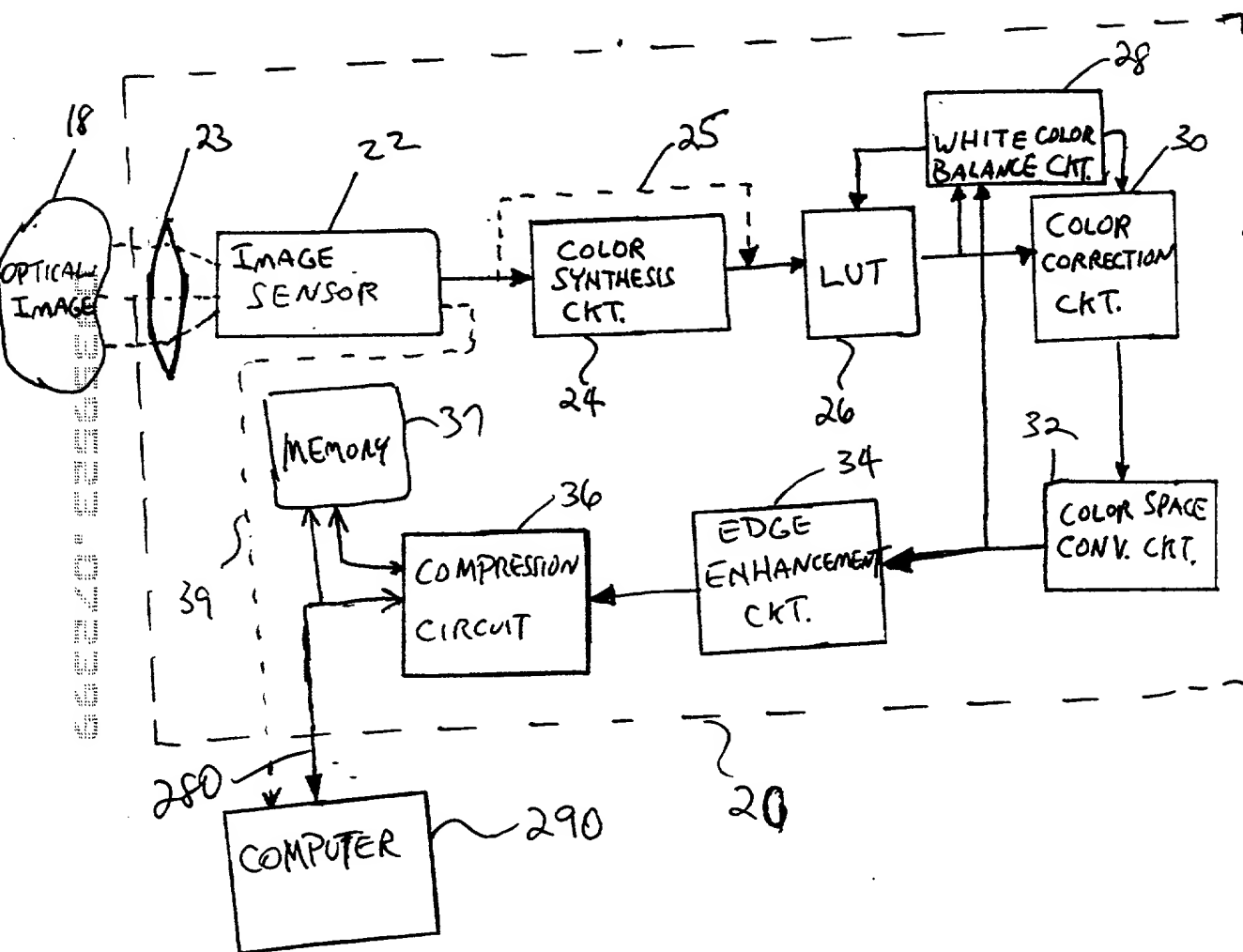


FIG. 2

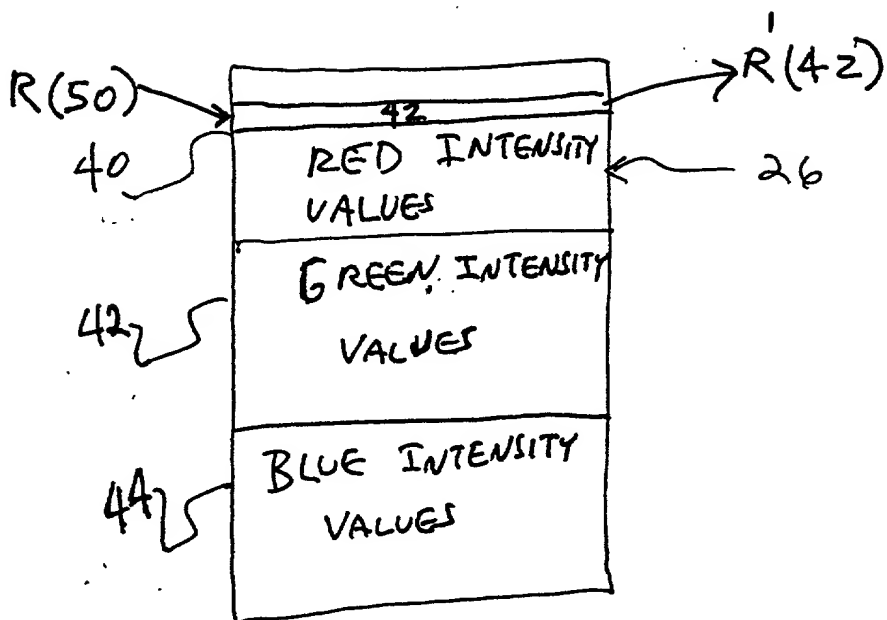


FIG. 3

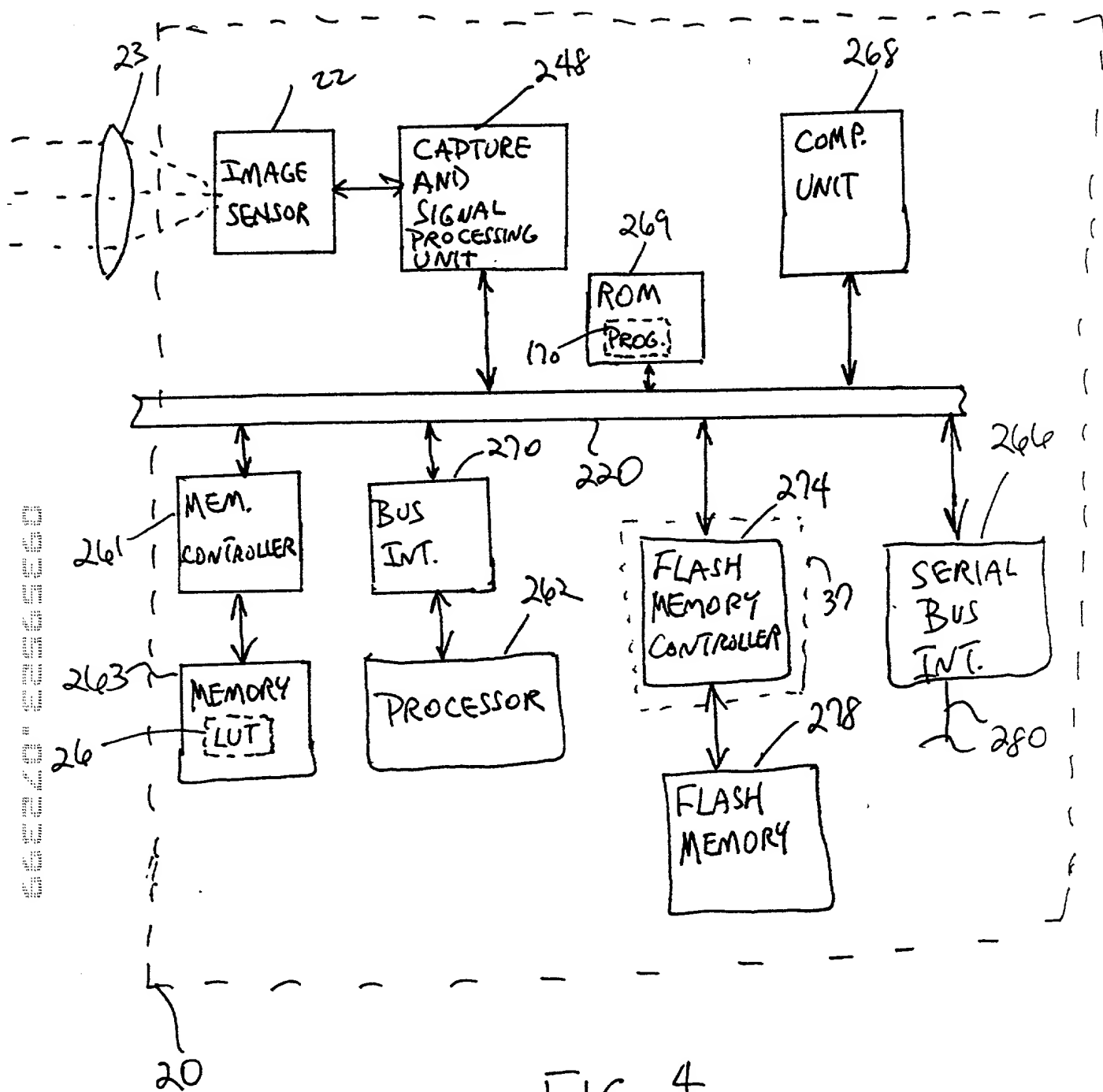


FIG. 4

300

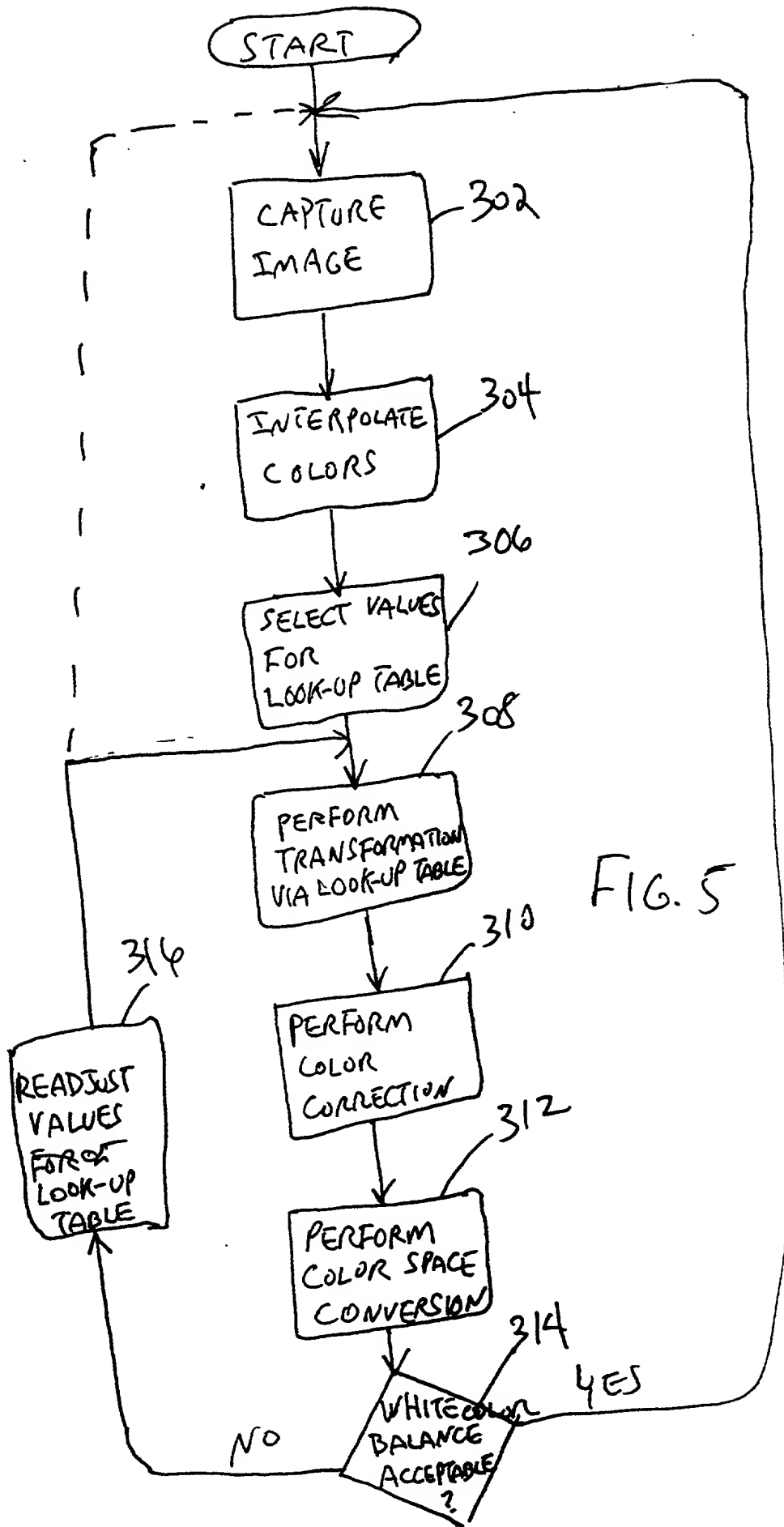


FIG. 5

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**IMAGE PROCESSING METHOD AND APPARATUS**

the specification of which

X	is attached hereto.
	was filed on _____ as
	United States Application Number _____
	or PCT International Application Number _____
	and was amended on _____
	(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):			Priority Claimed	
Number	(Country)	(Day/Month/Year Filed)	Yes	No
Number	(Country)	(Day/Month/Year Filed)	Yes	No
Number	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under title 35, United States Code, Section 119(e) of the United States provisional application(s) listed below:

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

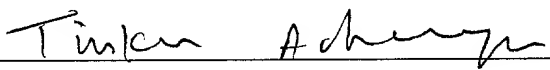
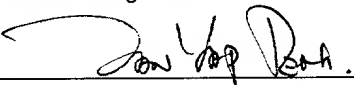
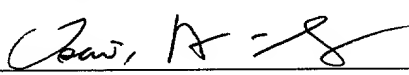
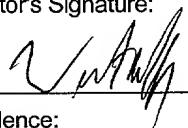
I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____ (Application Number)	_____ Filing Date	_____ (Status-patented, pending, abandoned)
_____ (Application Number)	_____ Filing Date	_____ (Status-patented, pending, abandoned)

I hereby appoint Timothy N. Trop, Reg. No. 28,994; Fred G. Pruner, Jr., Reg. No. 40,779, Dan C. Hu, Reg. No. 40,025; Coe F. Miles, Reg. No. 38,559, and John R. Merklings, Reg. No. 31,716 my patent attorneys, of TROP, PRUNER, HU & MILES, P.C., with offices located at 8554 Katy Freeway, Ste. 100, Houston, TX 77024, telephone (713) 468-8880, and Joseph R. Bond, Reg. No. 36,458; Richard C. Calderwood, Reg. No. 35,468; Sean Fitzgerald, Reg. No. 32,027; David J. Kaplan, Reg. No. 41,105; Leo V. Novakoski, Reg. No. 37,198; Naomi Obinata, Reg. No. 39,320; Thomas C. Reynolds, Reg. No. 32,488; Steven P. Skabrat, Reg. No. 36,279; Howard A. Skaist, Reg. No. 36,008; Steven C. Stewart, Reg. No. 33,555; Raymond J. Werner, Reg. No. 34,752; and Charles K. Young, Reg. No. 39,425; my patent attorneys, of INTEL CORPORATION; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Timothy N. Trop, TROP, PRUNER, HU & MILES, P.C., 8554 Katy Freeway, Ste. 100, Houston, TX 77024 and direct telephone calls to Fred G. Pruner, Jr., (713) 468-8880.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor: <b>TINKU ACHARYA</b>	
Inventor's Signature: 	Date: 07/21/99
Residence: <b>4840 W. ERIE ST., CHANDLER, AZ 85226</b>	Citizenship: <b>INDIAN</b>
Post Office Address: <b>4840 W. ERIE ST., CHANDLER, AZ 85226</b>	
Full Name of Second/Joint Inventor: <b>YAP-PENG TAN</b>	
Inventor's Signature: 	Date: July 21, 99
Residence: <b>1100 N. PRIEST DRIVE, APT. #2123, CHANDLER, AZ 85226</b>	Citizenship: <b>MALAYSIA</b>
Post Office Address: <b>1100 N. PRIEST DRIVE, APT. #2123, CHANDLER, AZ 85226</b>	
Full Name of Third/Joint Inventor: <b>PING-SING TSAI</b>	
Inventor's Signature: 	Date: 7/21/99
Residence: <b>420 W. DESERT AVE., GILBERT, AZ 85233</b>	Citizenship: <b>U.S.A.</b>
Post Office Address: <b>420 W. DESERT AVE., GILBERT, AZ 85233</b>	
Full Name of Fourth/Joint Inventor: <b>WERNER METZ</b>	
Inventor's Signature: 	Date: 7/22/99
Residence: <b>568 N. SAGUARO, CHANDLER, AZ 85226</b>	Citizenship: <b>U.S.A.</b>
Post Office Address: <b>568 N. SAGUARO, CHANDLER, AZ 85226</b>	